## §213.4

## §213.4 Excepted track.

A track owner may designate a segment of track as excepted track provided that—

- (a) The segment is identified in the timetable, special instructions, general order, or other appropriate records which are available for inspection during regular business hours;
- (b) The identified segment is not located within 30 feet of an adjacent track which can be subjected to simultaneous use at speeds in excess of 10 miles per hour;
- (c) The identified segment is inspected in accordance with 213.233(c) and 213.235 at the frequency specified for Class 1 track;
- (d) The identified segment of track is not located on a bridge including the track approaching the bridge for 100 feet on either side, or located on a public street or highway, if railroad cars containing commodities required to be placarded by the Hazardous Materials Regulations (49 CFR part 172), are moved over the track; and
- (e) The railroad conducts operations on the identified segment under the following conditions:
- (1) No train shall be operated at speeds in excess of 10 miles per hour;
- (2) No occupied passenger train shall be operated;
- (3) No freight train shall be operated that contains more than five cars required to be placarded by the Hazardous Materials Regulations (49 CFR part 172); and
- (4) The gage on excepted track shall not be more than 4 feet 10½ inches. This paragraph (e)(4) is applicable September 21, 1999.
- (f) A track owner shall advise the appropriate FRA Regional Office at least 10 days prior to removal of a segment of track from excepted status.

[63 FR 34029, June 22, 1998]

## § 213.5 Responsibility for compliance.

- (a) Except as provided in paragraph (b) of this section, any owner of track to which this part applies who knows or has notice that the track does not comply with the requirements of this part, shall—
  - (1) Bring the track into compliance;

- (2) Halt operations over that track; or
- (3) Operate under authority of a person designated under §213.7(a), who has at least one year of supervisory experience in railroad track maintenance, subject to conditions set forth in this part.
- (b) If an owner of track to which this part applies designates a segment of track as "excepted track" under the provisions of §213.4, operations may continue over that track without complying with the provisions of subparts B, C, D, and E of this part, unless otherwise expressly stated.
- (c) If an owner of track to which this part applies assigns responsibility for the track to another person (by lease or otherwise), written notification of the assignment shall be provided to the appropriate FRA Regional Office at least 30 days in advance of the assignment. The notification may be made by any party to that assignment, but shall be in writing and include the following—
- (1) The name and address of the track owner;
- (2) The name and address of the person to whom responsibility is assigned (assignee):
- (3) A statement of the exact relationship between the track owner and the assignee:
- (4) A precise identification of the track;
- (5) A statement as to the competence and ability of the assignee to carry out the duties of the track owner under this part; and
- (6) A statement signed by the assignee acknowledging the assignment to him of responsibility for purposes of compliance with this part.
- (d) The Administrator may hold the track owner or the assignee or both responsible for compliance with this part and subject to penalties under §213.15.
- (e) A common carrier by railroad which is directed by the Surface Transportation Board to provide service over the track of another railroad under 49 U.S.C. 11123 is considered the owner of that track for the purposes of the application of this part during the period the directed service order remains in effect.

(f) When any person, including a contractor for a railroad or track owner, performs any function required by this part, that person is required to perform that function in accordance with this part.

## §213.7 Designation of qualified persons to supervise certain renewals and inspect track.

- (a) Each track owner to which this part applies shall designate qualified persons to supervise restorations and renewals of track under traffic conditions. Each person designated shall have
  - (1) At least—
- (i) 1 year of supervisory experience in railroad track maintenance; or
- (ii) A combination of supervisory experience in track maintenance and training from a course in track maintenance or from a college level educational program related to track maintenance:
- (2) Demonstrated to the owner that he or she—
- (i) Knows and understands the requirements of this part;
- (ii) Can detect deviations from those requirements: and
- (iii) Can prescribe appropriate remedial action to correct or safely compensate for those deviations; and
- (3) Written authorization from the track owner to prescribe remedial actions to correct or safely compensate for deviations from the requirements in this part.
- (b) Each track owner to which this part applies shall designate qualified persons to inspect track for defects. Each person designated shall have—
  - (1) At least-
- (i) 1 year of experience in railroad track inspection: or
- (ii) A combination of experience in track inspection and training from a course in track inspection or from a college level educational program related to track inspection;
- (2) Demonstrated to the owner that he or she—  $\,$
- (i) Knows and understands the requirements of this part:
- (ii) Can detect deviations from those requirements: and

- (iii) Can prescribe appropriate remedial action to correct or safely compensate for those deviations; and
- (3) Written authorization from the track owner to prescribe remedial actions to correct or safely compensate for deviations from the requirements of this part, pending review by a qualified person designated under paragraph (a) of this section.
- (c) Individuals designated under paragraphs (a) or (b) of this section that inspect continuous welded rail (CWR) track or supervise the installation, adjustment, and maintenance of CWR track in accordance with the written procedures of the track owner shall have:
- (1) Current qualifications under either paragraph (a) or (b) of this section:
- (2) Successfully completed a comprehensive training course specifically developed for the application of written CWR procedures issued by the track owner:
- (3) Demonstrated to the track owner that the individual:
- (i) Knows and understands the requirements of those written CWR procedures:
- (ii) Can detect deviations from those requirements; and
- (iii) Can prescribe appropriate remedial action to correct or safely compensate for those deviations; and
- (4) Written authorization from the track owner to prescribe remedial actions to correct or safely compensate for deviations from the requirements in those procedures and successfully completed a recorded examination on those procedures as part of the qualification process.
- (d) Persons not fully qualified to supervise certain renewals and inspect track as required in paragraphs (a) through (c) of this section, but with at least one year of maintenance-of-way or signal experience, may pass trains over broken rails and pull aparts provided that—
- (1) The track owner determines the person to be qualified and, as part of doing so, trains, examines, and re-examines the person periodically within two years after each prior examination on the following topics as they relate